

08/12/99
Jc690 U.S. PTO

Patent
238/298

To: Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL
UTILITY

Jc138 U.S. PTO
09/374704
08/12/99

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Peter B. Dervan, et al.

Title: INHIBITION OF MAJOR GROOVE DNA BINDING PROTEINS BY
MODIFIED POLYAMIDES

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

39 Page(s) of Written Description

8 Page(s) Claims

1 Page(s) Abstract

19 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

☒ Declaration

☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration

☒ Assignment to California Institute of Technology and assignment cover sheet

☒ **3** Verified Statement establishing "Small Entity" under 37 CFR §§ 1.9 and 1.27

☐ Priority Document No(s): _____

SD-126116.1(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL088594244US
Express Mail Label No.

August 12, 1999
Date of Deposit

TAMARA TURNER
Name of Person Mailing Paper
Tamara Turner
Signature of Person Mailing Paper

- ☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
- ☒ Preliminary Amendment
- ☒ Return Postcard

III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE:							\$760.00
Total Claims	26	-	20	=	6	x \$18.00	\$108.00
Independent Claims	8	-	3	=	5	x \$78.00	\$390.00
Multiple Dependent Claims	\$260	(if applicable)				<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS							\$1,258.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.							<input checked="" type="checkbox"/> \$629.00
Misc. Filing Fees (Recordation of Assignment -- \$40)							\$40.00
TOTAL FEES SUBMITTED HEREWITH							\$669.00

IV. METHOD OF PAYMENT OF FEES

- ☒ A check in the amount of \$669.00.
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of _____.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

V. AUTHORIZATION to CHARGE FEES

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for the following:

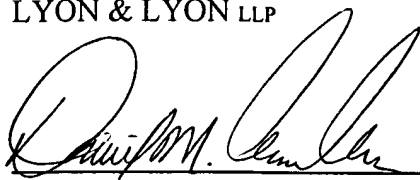
- ☒ 37 CFR § 1.16(a), (f) or (g) – **(Filing fees)**
- ☒ 37 CFR § 1.16(b), (c) and (d) – **(Presentation of Extra Claims)**
- ☒ 37 CFR § 1.16(e) – **(Surcharge for filing the basic filing fee and/or Declaration on a date later than the filing date of the application)**
- ☒ 37 CFR § 1.17 – **(Any Application processing fees)**

☒ Credit Lyon & Lyon's Deposit Account No. 12-2475 for overpayment of fees.

Respectfully submitted,

LYON & LYON LLP

Dated: 08/12/99

By: 
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